

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

KARASAWA, et al.

Serial No: 10/092,393

Filed:

March 5, 2002

For:

Semiconductor Device, Memory

System and Electronic Apparatus

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sirs:

Art Unit: 2811

Examiner: Not Assigned

I hereby certify that this correspondence is being deposited with the United States
Postal Service with sufficient postage as first class mail in an envelope addressed to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450, on
May 21, 2003
Date of Deposit
Shingale Ferguson
Name

May 21, 2003

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Shingale Ferguson

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Name

- 1. The information disclosure statement submitted herewith is being filed within three months of the filing date of the application other than a continued prosecution application, or within three months of the date of entry into the national stage of an international application, or before the mailing date of a first Office Action on the merits, or before the mailing of a first Office action after the filing of a request for continued examination under §1.114, whichever event occurs last. 37 C.F.R. §1.97(b).
- 2. The information disclosure statement transmitted herewith is being filed after the period specified in §1.97(b), but before the mailing date of a final action under §1.113, or a notice of allowance under §1.311, or an action that otherwise closes prosecution in the application, whichever occurs first. A statement specified in §1.97(e) or a fee set forth in §1.17(p) is included. 37 C.F.R. §1.97(c).

\$1.97(e) STATEMENT

I, the person signing below, state:

that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).

OR

that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the

information disclosure statement was known to any individual designated in

§1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2). OR FEE Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c). (\$180.00). [OR:] Please charge the fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c) (\$180.00) to Deposit Account No. 50-1314. A copy of this petition is enclosed. 3. The information disclosure statement transmitted herewith is being filed after the period specified in §1.97(c), but before, or simultaneously with the payment of the issue fee. A statement specified in §1.97(e) and a fee set forth in §1.17(p) are included. 37 C.F.R. §1.97(d). §1.97(e) STATEMENT I, the person signing below, state: that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1). OR. that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2). AND FEE Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(d). (\$180.00). 4. X If it should be determined that for any reason either an insufficient fee or an excessive has been paid, please charge any insufficiency or credit any overpayment necessary to ensure consideration of the information disclosure statement for the above-identified application to Deposit Account No. 50-1314. A copy of this petition is enclosed. 5. A list of 9 reference(s) is in the enclosed Form PTO-1449.

NON-ENGLISH LANGUAGE REFERENCES

\boxtimes	Enclosed is a Japanese Language Office	ee Action for a counterpart application.
	The specification incorporates commerceferences.	nts on the relevancy of Non-English language
	Set forth below are comments provided relevancy of non-English language relevancy	by the applicant's home country counsel on the ferences:
t o:	May 21 2002	Respectfully submitted, HOGAN & HARTSON L.L.P.

Date: May 21, 2003

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FORM PTO-1449	Docket Number (Optional 81751.0032	Application Number 10/092,393		
INFORMATION DISCLOSURE CITATION NAN 2 7 2003 H IN AN APPLICATION	Applicant KARASAWA, et al.			
Use several sheets if necessary)	Filing Date	Group Art Unit		

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EXAMINER	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DAT	
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	F	OREIGN PATE	NT DOCUMEN	ITS			
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Transla YES	
	09-260510	10/03/97	JAPAN			Abstract	NO
	03-040449	02/21/91	JAPAN			Abstract	
	2000-269319	09/29/00	JAPAN			Abstract	
			IABANI			Abstract	
	2000-036542	02/20/0	JAPAN	1		Austract	
	2000-036542	02/20/0 11/04/98	JAPAN			Abstract	
						 	
	10-294367	11/04/98	JAPAN			Abstract	
	10-294367 07-231044~	11/04/98 08/29/95	JAPAN JAPAN			Abstract Abstract	

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.